

PROFESSIONAL SERVICES AGREEMENT

THIS AGREEMENT FOR PROFESSIONAL SERVICES made this 30th day of January, 2018 by and between the City of Lafayette (the "Client"), and City Consultants and Research, LLC (the "Consultant").

WITNESSETH THAT:

WHEREAS, the Client desires to engage the Consultant to render certain agreed upon professional consulting services regarding grant applications (the "project"), and the Consultant desires to provide said services, all upon the terms hereinafter set forth.

WHEREAS, the Client and the Consultant will partner to complete full scope of the project as indicated in Appendix A and B of this agreement.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto do mutually agree as follows:

1. SCOPE OF SERVICES

The Consultant agrees to perform for the Client certain professional services that are set forth in Appendix attached here to and made part of this agreement.

2. TIME OF PERFORMANCE

This agreement shall be in effect from January 30, 2018 through and including December 31, 2018. This agreement may be renewed by agreement of the parties for additional like or different periods of time. Such agreement must be in writing, signed by the parties and attached to this Agreement.

The Consultant shall commence providing services specified in Appendix A on January 30, 2018 and shall there after diligently pursue the completion of such services. The consultant shall regularly advice the Client as to the status of such services.

3. COMPENSATION

A. Method of Payment.

Consultant shall be compensated at a rate of One Hundred Dollars (\$100) per hour, up to a maximum of **five thousand four hundred and ninety five dollars (\$5,495)** to assist with monitoring preparation and close out of a Neighborhood Stabilization Program grant. A complete budget is set forth in Appendix B attached here to and made part of this agreement.

B. B. _____ Invoice Prior to Payment.

Consultant shall submit properly itemized invoices upon completion and acceptance of the project to the Client for services performed and expenses incurred under this Agreement, and shall cooperate with and provide any other necessary information requested by the Client. The Client shall pay the Consultant within thirty (30) business days after receipt of each properly itemized

claim form. The total amount payable pursuant to this Agreement shall not exceed of **five thousand four hundred and ninety five dollars (\$5,495)**.

4. TERMINATION

Either party may terminate this Agreement with or without cause upon thirty (30) days written notice. In addition, the Client may terminate this Agreement upon thirty (30) days written notice to Consultant in the event that the Consultant fails to meet the performance standards more particularly described in Section 1 hereof. Upon receipt of such notice, the Consultant shall immediately cease all work under this Agreement, except as specifically authorized in writing by the Client. In the event of termination, the Client shall fully compensate the Consultant for all work satisfactorily performed up to and including the date of termination. Consultant shall deliver to the Client all work product and associated documentation prepared prior to and including the date of termination.

In the event that the Consultant's services are unsatisfactory, or the Consultant commits a material breach of this Agreement, the Consultant shall have five (5) days after written notice from the Client describing such unsatisfactory services or material breach to rectify, correct, or cure the stated problem to the satisfaction of the Client within that period, the Client may terminate this Agreement. The Client shall compensate the Consultant for all services satisfactorily provided prior to the effective date of such termination.

5. CONFIDENTIALITY OF FINDINGS

Any reports, information, data or intellectual property whatsoever given to or prepared or assembled by the Consultant under this Agreement shall not be made available to any individual or organization by the Consultant without prior written approval of the Client.

6. CLIENT'S RESPONSIBILITIES

The Client shall provide the Consultant access to such information, data, personnel, and reports as are existing, available, and necessary for the fulfillment of Consultant services at no charge to the Consultant, and otherwise cooperate with the Consultant as necessary for the Consultant to complete the services described in Appendix A.

7. SUCCESSORS AND ASSIGNS

All the terms and provisions of this Agreement shall inure to and be binding upon the parties here to and their respective successors and assigns. The Consultant shall not assign, subcontract or transfer its interest in this Agreement without the written consent of the Client, except as provided in the Scope of Services. Any such assignment, subcontract or transfer shall not relieve the Consultant from the performance of her obligations under this Agreement, and the Client shall not be obligated to remit payment to any person or entity other than the Consultant.

8. EXTENT OF AGREEMENT

This Agreement represents the entire and integrated agreement between the Client and the Consultant in relation to the Scope of services outlined in Appendix A & B and supersedes all prior negotiations, representations, or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both the client and the Consultant.

9. INTEREST OF CONSULTANT

The Consultant covenants that it presently has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under this Agreement. The Consultant further covenants that in its performance of this Agreement no person having any such interest shall be employed.

10. GOVERNING LAW

This Agreement shall be governed by the law of the State of Indiana, without regard to its choice of law principles.

11. ATTORNEYS' FEES

In the event litigation is commenced to enforce any term or condition of this agreement, the prevailing party shall be entitled to reasonable litigation costs including a reasonable attorney fee.

12. INDEPENDENT CONTRACTOR

The parties agree that the Consultant is an independent contractor as that term is commonly used and is not an employee of the City of Lafayette. As such, consultant is solely responsible for all taxes and none shall be withheld from the sums paid to the Consultant. The Consultant acknowledges that it is not insured in any manner by the Client for any loss of any kind or character whatsoever. The Consultant has no authority, express or implied, to bind or obligate the Client in any way.

13. AMENDMENT

This Agreement may be amended, modified, renewed, or supplemented only by written instrument signed by each of the parties hereto, and any such amendment may pertain to one or more than one of the provisions of this Agreement without affecting the other provisions of the Agreement.

14. NOTICE

Any notices, payments or other communications hereunder shall be sufficient only if given in writing and shall be deemed given when delivered personally or by next day delivery or five (5) days after mailing when mailed by registered or certified mail, return receipt requested, postage prepaid, addressed as follow:

Consultant
City Consultants and Research, LLC
7715 Candlewood Lane
Indianapolis, IN 46250
Attn: Alicia Vaughn

Client
Economic Development Department
City of Lafayette
515 Columbia Street
Lafayette, IN 47901
Attn: Dennis H. Carson, Director

15. CONFLICT OF INTEREST

The Consultant is expressly prohibited from using against the Client any confidential information gained in its representation of the Client in any subsequent or concurrent representation of a client who has interests adverse to the Client or engages in litigation adverse to the Client. The Consultant further agrees not to disclose to any third party, without the Client's consent, any sensitive or confidential information learned during such representation and not generally known.

16. LOBBYING

The Consultant hereby certifies that:

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement;
- B. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant loan or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure From to Report Lobbying," in accordance with its instructions;
- C. It will require that the language of paragraph (d) of this certification be include in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements and that all subrecipients shall certify and disclose accordingly, and
- D. Lobbying certification
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

17. HOLD HARMLESS

The Consultant shall hold harmless, defend and indemnify the Client from any and all claims, actions, suits, charges and judgments whatsoever that rise out to the Consultants performance or nonperformance of the services or subject matter called for in this agreement.

18. APPLICABLE LAWS

This agreement shall be governed by the laws of the United States, the State of Indiana, and all municipal ordinances and codes of the City of Lafayette, Indiana, as the same shall be in full force and effect upon the date this Agreement is executed.

19. NON-DISCRIMINATION

The Consultant shall not discriminate against any employee or applicant for employment to be utilized in the performance of this Agreement, with respect to hire, tenure, terms, conditions, or privileges of employment, or any matter directly or indirectly related to employment, because of race, religion, color, sex, age, handicap, disability, national origin, ancestry, disabled veteran status, or Vietnam era veteran status. Failure of the Consultant to comply with this section shall be regarded as a material breach of this Agreement.

20. RELIGIOUS ORGANIZATIONS

The Consultant agrees that funds provided under this contract will not be utilized for religious activities, to promote religious interests, or for the benefit of a religious organization.

21. NECESSARY DOCUMENTATION

The Consultant certifies that it will furnish the Client, if requested, any and all documentation, certification, authorization, license, permit, or registration required by the laws or rules and regulations of units of local government, the State of Indiana, and the United States. The Consultant further certifies that is now and will maintain its good standing with such government agencies. Failure of the Consultant to comply with this section shall constitute a material breach of this agreement.

22. WAIVER

The Client's delay or inaction pursuing its remedies forth in this Agreement, or available by law, shall not operate as a waiver of any of the Client's rights or remedies.

23. SEVERABILITY

If any provision of this Agreement is held to be invalid, illegal, or unenforceable by a court of competent jurisdiction, the provision shall be stricken, and all other provisions of this Agreement which can operate independently of such stricken provision, shall continue in full force and effect.

24. INTEGRATION

This Agreement represents the entire understanding between the Consultant and the Client with respect to the subject matter hereof and supersedes all prior negotiations, representations and/or contracts, either oral or written.

25. RECORDS

The Consultant shall maintain proper records for the scope of all services of this Agreement and provide an account for all charges and expenditures as may be necessary for audit purposes. All such records shall be subject to inspection and examination by the Client's representatives at reasonable business hours.

26. INSURANCE

Consultant shall provide insurance coverage and extend such coverage to protect the Client as follows:

General liability (including automobile) with a combined single limit of \$1,000,000. The Client shall be named as an Additional Insured and be given a 30 day notice of cancellation, non-renewal or significant change of coverage. Consultant's insurance shall be written on a "primary" basis and the Client's insurance program shall be in excess of all of Consultant's available coverage.

Worker's Compensation at the statutory limit, if applicable. Workers Compensation shall include a Waiver of Subrogation endorsement in favor of Client.

27. E-VERIFY

Consultant must enroll in and verify the work eligibility status of all newly hired employees of the Consultant through the E-Verify program operated by the United States Department of Homeland Security. If the E-Verify program ceases to exist, the Consultant will not be required to verify the work eligibility status of newly hired employees through the E-Verify program. The Consultant affirms under penalties for perjury that the Consultant does not knowingly employ an unauthorized alien.

28. CONTRACTING WITH IRAN

Consultant certifies that under penalties of perjury that it does not engage in investment activities in Iran as more particularly described in Indiana Code 5-22-16.5.

Appendix A

Scope

I) File Assessment and Preparation – Review and collect the files requested by IHCDA for submission. This scope includes an in-person visit to review the files kept from the NSP project at the City of Lafayette and with the private developer for the project. The scope includes scanning documents for submission to IHCDA prior to any monitoring visit by State of Indiana staff. The consultant will work with IHCDA to set up an electronic file transfer account of its preference for submission. If preferred by the client, the consultant can make copies for delivery and overnight them to the IHCDA office. Additional cost has been included in the budget for such a request.

II) File Completion – Assist with the location of any missing paperwork or documentation from the NSP files. If the review of the files reveals any missing paperwork, the consultant will work with the City of Lafayette staff and the developer to recover missing paperwork for the electronic submission or for the final monitoring visit. In some instances when files are missing and unrecoverable, the recreation of the document may be necessary to prevent or respond to a monitoring finding/concern. It is anticipated that the management company of the development or the developer may hold many of the required files since the developer also received rental housing tax credits. Both funding resources require similar reports and information. This will help reduce the time normally needed for a large rental unit project. If more time is required to meet the monitoring and closeout requirements, the consultant will contact staff for additional assistance or additional time.

III) Monitoring Visit – Attend the monitoring visit, along with IHCDA. Assist City of Lafayette staff with answering questions about the project and take notes for any possible follow up after the visits.

IV) Final Closeout – Assist City of Lafayette staff with any follow up response required after the monitoring visit. This includes writing of a response letter and document submission for any finding or concerns issued by IHCDA. The consultant will work with the City of Lafayette staff until IHCDA issues a final project closeout letter for the grant award.

IN WITNESS WHEREOF, the Client and Consultant have executed this agreement of the dates shown below.

City Consultants and Research, LLC

By: Alicia Vaughn

Name/Title:

Alicia Vaughn, Managing Member

Date 1/23/18

**CITY OF LAFAYETTE, LEAD AGENCY
FOR LAFAYETTE HOUSING CONSORTIUM,
BY ITS BOARD OF PUBLIC WORKS AND SAFETY**

Approved by the Board of Public Works and Safety on the _____ day of _____, 2018.

By: _____ By: _____
Gary Henriott, President Norm Childress, Member

By: _____ By: _____
Cindy Murray, Member Dawn Ross, Member

By: _____
Ronald Shriner, Member

ATTEST:

By: _____
Mindy Miller, Deputy Clerk

Date: _____

Appendix B

Budget

	Hours	Cost at \$100/Hour	Direct Costs	Total
File Assessment	16	\$1,600	\$150	\$1,750
File Completion	20	\$2,000	\$20	\$2,020
Monitoring Visit	6	\$600	\$75	\$675
Final Closeout	10	\$1,000	\$50	\$1,050
Total Without AFH Complete	52	\$5,200	\$295	\$5,495